Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/4123/2026/774
Dated: November 08, 2026

01. **IDLC Investments Ltd. (Merchant Banker),** DR Tower (4th Floor), 65/2/2 Bir Protik Gazi Golam Dastagir Road, Purana Paltan, Dhaka-1000.
02. **Board of Directors,** IDLC Investments Ltd., DR Tower (4th Floor), 65/2/2 Bir Protik Gazi Golam Dastagir Road, Purana Paltan, Dhaka-1000.
03. **Managing Director/Chief Executive Officer,** IDLC Investments Ltd. DR Tower (4th Floor), 65/2/2 Bir Protik Gazi Golam Dastagir Road, Purana Paltan, Dhaka-1000.

Subject: Warning to ensure compliance with all applicable securities laws in future.

This refers to the Commission's show cause cum hearing notice ref: BSEC/Enforcement/ 4123/2026/685 dated 01 October 2026, based on which the hearing was conducted on 26 October 2026. You have submitted written explanation vide letter ref: IDLCIL/26/0406 dated October 25, 2026 during the hearing.

Upon explanation of the matters raised in the show cause notice and your explanation submitted in response there to, the Commission has observed certain instances of non-compliance with the applicable securities laws.

The Commission, considering your degree of non-compliances, has decided to warn you to be careful in future and also suggested to be cautious about maintaining due diligence related to all applicable securities laws. Any divergence of securities related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

08-11-2026
Rubel Hossain
Assistant Director (Enforcement)

Copy for information:
1. Chairman Office, BSEC.
2. P.O. to all Commissioner (Enforcement), BSEC.
3. PO to Executive Director (MIAD), BSEC; with reference to BSEC IDM no. BSEC/IEID/Inspection/2024 (Part-IV)/94/1347 dated 12th October 2026.
4. PO to Executive Director (ICTD), BSEC.